

## EXHIBIT III-D06

<b>REPORT NAME:</b>	<b>Document Report by Appropriation</b>	<b>REPORT NO:</b>	<b>CSTARD06</b>
<b>PURPOSE:</b>	Provides information for monitoring the status of <u>ALL</u> documents by their appropriation classification, and some documents with no appropriation classification such as ORF advances and Account Receivables-Other.		
<b>DESCRIPTION:</b>	This report includes all Document File records, including those that are not normally supported in the Document File. See D16 Report also.		
<b>REPORT REQUEST OPTIONS:</b>			
<b>Report Period:</b>			
<b>FM:</b>	CM, PM or PY		
<b>P:</b>	Not Applicable		
<b>Level of Detail:</b>			
<u>Index (I)</u>	<u>Program (P)</u>	<u>Object/Source(O/S)</u>	<u>Fund (F)</u>
Not Applicable	Not Applicable	0-No Source 1-Source	1-Fund 2-Fund Detail
<b>Fund Selection:</b> Blank (all Funds) or any valid Fund			
<b>GLAN Selection:</b> Blank (all GLs) or any valid GL			
<b>Additional Report Selection Options:</b>			
<b>Index Range:</b>	Enter the Index or range of Indexes. Leave blank for all Indexes.		
<b>PCA Range:</b>	Enter the PCA or range of PCAs. Leave blank for all PCAs.		
<b>OBJ Range:</b>	Enter the Object Detail or range of Object Details. Leave blank for all Object Details.		
<b>FFY Selection:</b>	Enter the FFY or leave blank for all FFYs.		
<b>PRJ/WP Selection:</b>	Enter the Project and Work Phase independently, or a combination of Project and Work Phase. Leave blank for all Project/Work Phases.		
<b>Destination Options:</b> All available output media			
<b>E1 (Electronic Storage) Report Request Options:</b> E1 options are limited to the following:			
Report Period FM: PM or PY			
Level of Detail: All options available			
Fund Selection: Blank only			
GLAN Selection: Blank only			
Additional Report Selection Options: All selections and ranges – Blank only			

## EXHIBIT III-D06 (Continued)

REPORT NAME: <b>Document Report by Appropriation</b>	REPORT NO: <b>CSTARD06</b>
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**FINANCIAL ELEMENTS:**

**Original Document:** The amount of the document established by the original accounting transaction. Normal balance is a Debit for Receivables and a Credit for Payables.

**Adjustments:** Net of subsequent adjustment entries to increase or decrease the original document amount. Normal balance may be either a Debit or a Credit.

**Liquidations:** The amount of liquidations (collections/payments) made against the document. After final liquidation of encumbrances, this amount equals the sum of the Original Document and Adjustment columns. Normal balance is a Credit for Receivables and a Debit for Payables.

**Payments:** The actual payments recorded against the document. For encumbrances, this may exceed the amount shown in the Liquidations column. For most other documents this amount is the same as shown in the Liquidations column. Normal balance is a Credit for Receivables and a Debit for Payables.

**Balance:** Calculated as the sum of Original Document, Adjustments and Liquidations. Normal balance is a Debit for Receivables and a Credit for Payables.

**SPECIAL NOTES:**

PY reports excludes zero balance documents.

If a document is set up and brought to a zero balance in the same fiscal month, it is on the specific fiscal month report, but not on subsequent reports (it is purged at the end of the fiscal month).

"Reference" is shown in the header as an AS Table look-up field. It is not used for sorting records on the report.

PM reports exclude documents with a zero balance when the "Document Create Date" (Month and Year) is greater than the report "As Of Date".

Several Transaction Codes that post to the Document File require input of GLANs for the Document File record. When a GLAN is entered that is not normally supported in the Document File, it appears on this report.

Obligations are not shown on a PY report.

**REPORT SORT:**

DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL
ENY	None	Yes	Yes
Fund	Level of Detail: F	Yes	Yes
Appropriation Sym	None	Yes	Yes
Program/Category	None	Yes	Yes
General Ledger	None	Yes	Yes
Subsidiary	None	Yes	Yes
Source	Level of Detail: O	Yes	Yes
Document Number	None	No	No

## EXHIBIT III-D06 (Continued)

[illegible]

DEPARTMENT OF AIR QUALITY  
DOCUMENT REPORT BY APPROPRIATION  
AS OF 03/31/09

\*\*\*\*\* PAGE 604

ENY	:	2008			
FUND	:	0028	UNIFIED PROGRAM ACCOUNT		
APPN	:	105	SUPPORT- AIR QUALITY (9990-001-0028)	REF:	001
PROG/CAT	:	10-00-000-000	AIR QUALITY PROGRAM		
GLAN	:	6150	ENCUMBRANCES		
SUBSIDIARY:					
SOURCE	:	000000			

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DOC-SFX		FFY	C-OB-DTL-A0		ORIGINAL DOCUMENT		ADJUSTMENTS		LIQUIDATIONS		PAYMENTS	BALANCE		
FD	FS	M	REF	INDX	SOURCE-AS	PCA	PROJ-WP	DOC DATE	CR DATE	LP DATE	CL DATE	VENDOR-SFX	VENDOR NAME	
PRORATA	028	2008	3-30-438					13,807.00		0.00		10,355.25-	10,355.25-	3,451.75
	S	1	001	0700	000000	30801			10-22-2008	03-20-2009				
*TOTAL SOURCE-AS 000000								13,807.00		0.00		10,355.25-	10,355.25-	3,451.75
*TOTAL SUBSIDIARY								13,807.00		0.00		10,355.25-	10,355.25-	3,451.75
*TOTAL GENERAL LEDGER 6150								13,807.00		0.00		10,355.25-	10,355.25-	3,451.75
*TOTAL PROGRAM/CATEGORY 10-00-000-000								13,807.00		0.00		10,355.25-	10,355.25-	3,451.75

[illegible]

DEPARTMENT OF AIR QUALITY  
DOCUMENT REPORT BY APPROPRIATION  
AS OF 03/31/09

\*\*\*\*\* PAGE 601

ENY	:	2008			
FUND	:	0001	GENERAL FUND		
APPN	:	190	SCHEDULED REIMBURSEMENTS		REF: 001
PROG/CAT	:	0-00-000			
GLAN	:	1400	DUE FROM OTHER FUNDS OR APPROPRIATIONS		
SUBSIDIARY:		60290000	DUE FROM CLEAN WTR/AIR SAFE PARKS COASTAL PROTECT		
SOURCE	:	991913	SCHEDULED INTERDEPARTMENTAL		

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DOC-SFX		FFY	C-OB-DTL-AO		ORIGINAL DOCUMENT			ADJUSTMENTS		LIQUIDATIONS		PAYMENTS	BALANCE	
FD	FS	M	REF	INDX	SOURCE-AS	PCA	PROJ-WP	DOC DATE	CR DATE	LP DATE	CL DATE	VENDOR-SFX	VENDOR NAME	
80000011	00	2008						353.38		0.00		353.38-	353.38-	0.00
	R	1	001	0120	991913-55	80821		01-09-2009	01-15-2009	03-17-2009			COASTAL CONSERV - 34001	
*TOTAL SOURCE-AS					991913-55			353.38		0.00		353.38-	353.38-	0.00
*TOTAL SUBSIDIARY					60290000			353.38		0.00		353.38-	353.38-	0.00
*TOTAL GENERAL LEDGER					1400			353.38		0.00		353.38-	353.38-	0.00
*TOTAL PROGRAM/CATEGORY					0-00-000			353.38		0.00		353.38	353.38-	0.00

## EXHIBIT III-D07

<b>REPORT NAME:</b> <b>Year-end Document File Report of Encumbrances (GL 6150)</b>	<b>REPORT NO:</b> <b>CSTARD07</b>												
<b>PURPOSE:</b> The accounting data that supports the worksheet that is used to reclassify certain encumbrances as payables at Year-end.													
<b>DESCRIPTION:</b> GL 6150 Document File records are selected for the report. This report assists agencies in the Year-end encumbrance reclassification process. Some agencies also use this report (with the Report Period: P: option of I) to aide in the identification of contracts signed with Individuals/Sole Proprietors subject to EDD's reporting requirements for independent contractors.													
<b>REPORT REQUEST OPTIONS:</b>													
<p><b>Report Period:</b></p> <p>    <b>FM:</b>    CM, PM or PY                <b>P:</b>    Blank (all Vendor Types) or I = Vendor Type I only</p> <p><b>Level of Detail:</b></p> <table style="width: 100%; border: none;"> <tr> <td style="text-align: center; width: 25%;"><u>Index (I)</u></td> <td style="text-align: center; width: 25%;"><u>Program (P)</u></td> <td style="text-align: center; width: 25%;"><u>Object/Source(O/S)</u></td> <td style="text-align: center; width: 25%;"><u>Fund (F)</u></td> </tr> <tr> <td style="text-align: center;">0-No Organization</td> <td style="text-align: center;">Not Applicable</td> <td style="text-align: center;">Not Applicable</td> <td style="text-align: center;">0-No Sort/Sub-total on PCA</td> </tr> <tr> <td style="text-align: center;">1-Section</td> <td></td> <td></td> <td style="text-align: center;">1-Sort/Subtotal on PCA</td> </tr> </table> <p><b>Fund Selection:</b>    Blank (all Funds) or any valid Fund</p> <p><b>GLAN Selection:</b>    Not Applicable</p> <p><b>Additional Report Selection Options:</b></p> <p>    <b>Index Range:</b>        Enter the Index or range of Indexes. Leave blank for all Indexes.</p> <p>    <b>PCA Range:</b>        Enter the PCA or range of PCAs. Leave blank for all PCAs.</p> <p>    <b>OBJ Range:</b>        Enter the Object Detail or range of Object Details. Leave blank for all Object Details.</p> <p>    <b>FFY Selection:</b>      Enter the FFY or leave blank for all FFYs.</p> <p>    <b>PRJ/WP Selection:</b>    Enter the Project and Work Phase independently, or a combination of Project and Work Phase. Leave blank for all Project/Work Phases.</p> <p><b>Destination Options:</b>    All available output media</p> <p><b>E1 (Electronic Storage) Report Request Options:</b> E1 options are limited to the following:</p> <p style="margin-left: 40px;">Report Period FM:    PM or PY                                        P:    Blank only          Level of Detail:    All options available          Fund Selection:    Blank only          Additional Report Selection Options: All selections and ranges – Blank only</p>		<u>Index (I)</u>	<u>Program (P)</u>	<u>Object/Source(O/S)</u>	<u>Fund (F)</u>	0-No Organization	Not Applicable	Not Applicable	0-No Sort/Sub-total on PCA	1-Section			1-Sort/Subtotal on PCA
<u>Index (I)</u>	<u>Program (P)</u>	<u>Object/Source(O/S)</u>	<u>Fund (F)</u>										
0-No Organization	Not Applicable	Not Applicable	0-No Sort/Sub-total on PCA										
1-Section			1-Sort/Subtotal on PCA										

## EXHIBIT III-D09 (Continued)

REPORT NAME: <b>Document Report by General Ledger, Subsidiary Account and Document Number</b>	REPORT NO: <b>CSTARD09</b>																																
<b>FINANCIAL ELEMENTS:</b>  <p><b>Original Document:</b> The amount established by the original accounting transaction. Normal balance is a Debit for Receivables; Credit for Payables.</p> <p><b>Adjustments:</b> Net of subsequent adjustment entries to increase or decrease the document. Balance may be either a Debit or a Credit.</p> <p><b>Liquidations:</b> The amount of the liquidations posted to the document. After final payment of Encumbrances (GL 6150), this amount equals the total of the Original Document and Adjustments columns. Normal balance is a Credit for Receivables; Debit for Payables.</p> <p><b>Payments:</b> The actual collections or payments made against the document. For Encumbrances, this column does not always equal the Liquidations column. Normal balance is a Credit for Receivables; Debit for Payables.</p> <p><b>Balance:</b> Calculated as the sum of Original Document, Adjustments and Liquidations. Normal balance is a Debit for Receivables; Credit for Payables.</p>																																	
<b>SPECIAL NOTES:</b>  <p>When the report is requested with Section (Index level is <b>1</b>), the IC Table General Ledger Organization Level Indicator is used to determine if the Document File is sorted by Section. When this indicator is set to <b>1</b> in the IC Table, "Section" appears on the report.</p> <p>When the report is requested with Fund Detail (Fund level is <b>2</b> or <b>3</b>), the Fund Detail code is used to sort the documents. Agencies do not have to indicate Appropriation Control Indicator of <b>1</b> (Fund Detail level) on the D23 table in order to have documents sorted by Fund Detail on this report.</p> <p>When the report is requested with Project (Fund level is <b>3</b>), the PC Table General Ledger Project Level Indicator is used to determine if the Document is sorted by Project. When this indicator is set to <b>1</b> or <b>B</b> on the PC Table, Project (not including Work Phase) appears on the report.</p> <p>Zero balance documents are excluded on a PY report.</p>																																	
<b>REPORT SORT:</b>  <table border="1" style="width: 100%; border-collapse: collapse; text-align: center;"> <thead> <tr> <th style="padding: 5px;">DATA FIELD</th> <th style="padding: 5px;">SELECTION OPTIONS</th> <th style="padding: 5px;">PAGE-BREAK</th> <th style="padding: 5px;">SUB-TOTAL</th> </tr> </thead> <tbody> <tr> <td style="padding: 5px;">Section</td> <td style="padding: 5px;">Level of Detail: I</td> <td style="padding: 5px;">Yes</td> <td style="padding: 5px;">No</td> </tr> <tr> <td style="padding: 5px;">Fund</td> <td style="padding: 5px;">Level of Detail: F</td> <td style="padding: 5px;">Yes</td> <td style="padding: 5px;">No</td> </tr> <tr> <td style="padding: 5px;">Project</td> <td style="padding: 5px;">Level of Detail: F</td> <td style="padding: 5px;">Yes</td> <td style="padding: 5px;">No</td> </tr> <tr> <td style="padding: 5px;">General Ledger</td> <td style="padding: 5px;">None</td> <td style="padding: 5px;">Yes</td> <td style="padding: 5px;">Yes</td> </tr> <tr> <td style="padding: 5px;">Subsidiary</td> <td style="padding: 5px;">Level of Detail: P</td> <td style="padding: 5px;">Yes</td> <td style="padding: 5px;">Yes</td> </tr> <tr> <td style="padding: 5px;">Document Number</td> <td style="padding: 5px;">None</td> <td style="padding: 5px;">No</td> <td style="padding: 5px;">No</td> </tr> <tr> <td style="padding: 5px;">FFY</td> <td style="padding: 5px;">None</td> <td style="padding: 5px;">No</td> <td style="padding: 5px;">No</td> </tr> </tbody> </table>		DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL	Section	Level of Detail: I	Yes	No	Fund	Level of Detail: F	Yes	No	Project	Level of Detail: F	Yes	No	General Ledger	None	Yes	Yes	Subsidiary	Level of Detail: P	Yes	Yes	Document Number	None	No	No	FFY	None	No	No
DATA FIELD	SELECTION OPTIONS	PAGE-BREAK	SUB-TOTAL																														
Section	Level of Detail: I	Yes	No																														
Fund	Level of Detail: F	Yes	No																														
Project	Level of Detail: F	Yes	No																														
General Ledger	None	Yes	Yes																														
Subsidiary	Level of Detail: P	Yes	Yes																														
Document Number	None	No	No																														
FFY	None	No	No																														

## EXHIBIT III-D09 (Continued)

CSTARD09 9990 (DEST: M5 XXL1) CM, ,1,0,0,2, , , , , , , , , \*\*\*\*\* RUN:10/20/09 TIME:06.00  
FISCAL MONTH: 04 OCTOBER 1(SEC ) 0(NOSUB)\*\*\*\*\* 2(BYFDT ) FUND(ALL ) GL(ALL )  
DEPARTMENT OF AIR QUALITY  
DOCUMENT REPORT BY GENERAL LEDGER, SUBSIDIARY ACCOUNT AND DOCUMENT NUMBER  
AS OF 10/20/09

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SECTION      : 00
FUND         : 0890      FEDERAL TRUST FUND
FUND DETAIL  : 00
GENERAL LEDGER: 6150      ENCUMBRANCES
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DOCUMENT-SFX	FFY	ENY	C-OB-DTL-AO	ORIGINAL DOCUMENT	ADJUSTMENTS	LIQUIDATIONS	PAYMENTS	BALANCE
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FD AS	FS M REF	INDX	SOURCE-AS	PCA	PROJ-WP	DOC DATE	CR DATE	LAST PROC	VENDOR-SFX	VENDOR NAME
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ARRAC124-15	2008	2008	6-61-706	279,789.00	0.00	53,580.40-	53,580.40-	226,208.60
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171	F	1	101	32802	171000	00	06-16-2009	06-16-2009	10-14-2009	0000012410	00	SANTA BARBARA CNTY	OFFICE OF E
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ARRAFF32-15	2008	2008	6-61-706	25,107.00	0.00	0.00	0.00	25,107.00
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171	F	1	101	32801	171000	00	05-31-2009	06-05-2009	06-05-2009	0000008088	00	KERN	CNTY	SUPERINTE	OF	SCHOOLS
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ARRARF13-15	2008	2008	6-61-706	155,807.00	0.00	0.00	0.00	155,807.00
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171	F	1	101	32801	171000	00	06-30-2009	07-14-2009	07-14-2009	00000008563	00	CITY YEAR, INC
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ARRRF129-15	2008	2008	6-61-706	295,203.00	0.00	0.00	0.00	295,203.00
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171	F	1	101	32801	171000	00	05-31-2009	06-08-2009	06-08-2009	0000031496	00	SEQUOIA UNION	HIGH SCHOOL	DIST
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ARRXCC32-15	2008	2008	6-61-706	877,797.00	0.00	33,878.78-	33,878.78-	843,918.22
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171	F	1	101	32802	171000	00	06-16-2009	06-16-2009	09-16-2009	00000005010	00	BAY AREA COMMUNITY RESOURCES
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ARRXCC59-15	2008	2008	6-61-706	341,930.00	0.00	0.00	0.00	341,930.00
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171	F	1	101	32802	171000	00	06-16-2009	06-16-2009	06-16-2009	00000017190	00	JUMPSTART/YOUNG CHILDREN, INC
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ARRXCC67-15	2008	2008	6-61-706	800,296.00	0.00	16,850.70-	16,850.70-	783,445.30
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171	F	1	101	32802	171000	00	06-16-2009	06-16-2009	09-30-2009	0000030782	00	WEINGART CENTER ASSOC
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ARRXC101-15	2008	2008	6-61-706	447,808.00	44,760.00	69,480.51-	69,480.51-	423,087.49
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171	F	1	101	32802	171000	00	06-16-2009	06-16-2009	10-14-2009	00000008620	00	CHILD ABUSE PREVENTION COUNCIL
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ARRXC102-15	2008	2008	6-61-706	220,137.00	0.00	20,418.83-	20,418.83-	199,718.17
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171 F	1	101	32802	171000	00	06-16-2009	06-16-2009	10-14-2009	0000025917	00	PREVENT CHILD ABUSE CALIFORNIA
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ARRXC106-15	2008	2008	6-61-706	776,813.00	0.00	87,254.56-	87,254.56-	689,558.44
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171 F	1	101	32802	171000	00	06-16-2009	06-16-2009	09-16-2009	00000004934	00	REDWOOD COMMUNITY ACTION AGENCY
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	ARRX0107-15	2008	2008	6-61-706	148,699.00	0.00	0.00	0.00	0.00	0.00	148,699.00
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171 F	1	101	32802	171000	00	06-16-2009	06-16-2009	06-16-2009	0000020971	00	SHASTA	CNTY	CHILD	ABUSE	PREN
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ARRX109-15	2008	2008	6-61-706	1,536,489.00	0.00	0.00	0.00	0.00	1,536,489.00
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[illegible]

ARRXC126-15	2008	2008	6-61-706	232,773.00	0.00	1,953.32-	1,953.32-	230,819.68
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[illegible]

ARRXF132-15	2008	2008	6-61-706	211,419.00	0.00	71,753.75-	71,753.75-	139,665.25
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[illegible]

OPR08108-00	2008	2008	6-61-708	2,363,000,000.00	0.00	2,483,198,909.00-	2,483,198,909.00-	81,801,091.00		
113 F 1 601	00113	123000	00	05-27-2009	05-27-2009	07-01-2009	00000032021	00	CA1EOPN12	DEPT OF EDUCATION

113	F	1	601	00113	123000	00	03-27-2009	03-27-2009	07-01-2009	00000032021	00	CALIFORNIA DEPT OF EDUCATION
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*TOTAL GENERAL LEDGER	6150	2,611,760,909.80	44,760.00	2,502,583,706.44-	2,502,311,342.44-	109,221,963.36
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